



## PRACTICE TIPS: Reform Requirements for RDNs and NDTRs in Long Term Care Facilities

## Implementation STEPS for the revised Regulations effective November 28, 2017, and latest revision effective October 21, 2022

The Long-Term Care Final Rule, published October 4, 2016, outlined the attending physician may delegate prescribing a resident's diet to a qualified dietitian or other clinically qualified nutrition professional. The Final Rule stated the regulations will be rolled out in three phases, which are outlined in the timeline below. Centers for Medicare & Medicaid Services (CMS) released the revised State Operations Manual (SOM) Appendix PP – Guidance to Surveyors for Long Term Care Facilities effective November 28, 2017. Access the CMS SOM Appendix PP using the link: <a href="https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap\_pp\_guidelines\_ltcf.pdf">https://www.cms.gov/Regulations-and-Guidance/Manuals/downloads/som107ap\_pp\_guidelines\_ltcf.pdf</a>

- Most regulatory sections have been revised and re-designated per the final rule.
- <u>Timeline</u>: The final rule also stipulated that regulations will be effective through three different phases from November 28, 2016 through November 28, 2019.
- <u>Crosswalk:</u> Table 1: Title 42 Cross-Reference to Part 483 Subpart B in the final rule lists the previous and new regulations. CMS Final Rule link is as follows:
   <a href="https://www.federalregister.gov/documents/2016/10/04/2016-23503/medicare-and-medicaidprograms-reform-of-requirements-for-long-term-care-facilities">https://www.federalregister.gov/documents/2016/10/04/2016-23503/medicare-and-medicaidprograms-reform-of-requirements-for-long-term-care-facilities</a>
- Regulation Numbering: Most regulations groups were re-designated and have new numbers, e.g., Food and Nutrition Services changed from §483.35 to §483.60.
- Revisions to State Operations Manual (SOM) Appendix PP: CMS incorporated revised regulation text into the SOM Appendix PP with Rev. 173, 11-22-17. The regulations update with Rev. 207, 09-30-22, Effective 10-01-22 is to section Food and Nutrition §483.60 (a) Staffing (page 565); other changes were formatting and addition of references in several sections. Additional updates are in Rev. 208, Issued 10-21-22, Effective 10-21-22, Implementation 10-24-22. No changes were made to food or nutrition-related regulations.
- <u>Revised F-Tags</u>: The current F-Tags have been revised with new numbers and to include the requirements and regulation text as is presented in the final rule.
- Assess State Practice Act, Certification, or Title Protection laws for <u>Dietitian Nutritionist</u> for the State(s) in which you provide care and services.
  - Find the State Law Practice Acts, Title Protection or Certification via the State Licensure Agency
     Contact List link: <a href="https://www.cdrnet.org/state-licensure-agency-list">https://www.cdrnet.org/state-licensure-agency-list</a>
  - Outcome of review will determine how the registered dietitian nutritionist (RDN) practitioner who is licensed or certified in the State will proceed.





- Review the Summary Table of State Long Term Care Regulations which outlines specifics on how to
  proceed with facilitating the process of delegated orders for therapeutic diets. CMS final rule allows the
  attending physician for resident's in long term care facilities to delegate the ability to order therapeutic diets
  to qualified RDNs, consistent with state laws. See F-Tag 711.
  <a href="https://www.eatrightpro.org/advocacy/licensure/therapeutic-diet-orders">https://www.eatrightpro.org/advocacy/licensure/therapeutic-diet-orders</a>
- Contact the State Affiliate Academy of Nutrition and Dietetics to work with the RDN members of the Public Policy Panel for latest update. Use the Affiliate link to select your State: <a href="https://www.eatrightpro.org/resource/membership/academy-groups/affiliates/state-affiliates">https://www.eatrightpro.org/resource/membership/academy-groups/affiliates/state-affiliates</a>
- Read the Centers for Medicare & Medicaid Services (CMS) State Operations Manual (SOM) Appendix PP-Guidance to Surveyors for Long Term Care Facilities: <a href="https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap">https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap</a> pp guidelines ltcf.pdf.
- NOTE: Revision 173, 11/22/17 reflects service, responsibilities and job function changes in regulations pertaining to food service, dietitians and other nutrition professionals which includes nutrition and dietetics technicians, registered (NDTRs) as well as support and administrative staff in long-term care facilities.
  - See Section §483.60(a) Staffing- NDTRs qualify to serve as the director of food and nutrition service under section (2)(i) D as they have an associate's or higher degree if the course of study includes food service or restaurant management from an accredited institution of higher learning.
  - Revisions in the **State Operations Manual (SOM), Appendix PP Revised Regulations and Tags** since the last revision are written in red color. With revision 207, 09 30-22 and 208, 10-21-22, the red lettering from the 2017 revision was removed. The red lettering is retained in the Practice Tips so that readers can easily see the extensive updates made in 2017.
- Listed below are highlighted sections applicable to Food and Nutrition Services, RDNs and NDTRs in the State Operations Manual (SOM) Appendix PP that changed with the November 27, 2017 revision and the October 1, 2022 revision to §430.60 (a)(2). Changes within the regulation are written in red; terminology listed below with definitions that follow the chart are starred (\*). Below are select sections, please review all appropriate sections of the SOM Appendix PP for information applicable to your job and position.

Section	F-Tag and	Information
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§483.21(b)	F657,	(2) A compressive care plan must be-
Comprehensive	658	(i) Developed within 7 days after completion of the comprehensive
Care Plans	Pages	assessment
	242-248	• (ii) Prepared by an interdisciplinary team, that includes but is not limited
		to—
		a) The attending physician.





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	Itamber	b) A registered nurse with responsibility for the resident.
		c) A nurse aid with responsibility for the resident.
		d) A member of the food and nutrition services staff.
		e) To the extent practicable, the participation of the resident and the
		resident's representative(s). An explanation must be included in a
		resident's representative(s). An explanation must be included in a resident's medical record if the participation of the resident and their
		· · ·
		resident representative is determined not practicable for the
		development of the resident's care plan.
		f) Other appropriate staff or professionals in the disciplines as
		determined by the resident's needs or as requested by the resident.
		(iii) Reviewed and revised by the interdisciplinary team after each
		assessment, including both the comprehensive and quarterly review
		assessments.
		§483.21(b)(3) Comprehensive Care Plan
		The services provided or arranged by the facility, as outlined by the
		comprehensive care plan, must—
		(i) Meet professional standards of quality.
		Intent: The intent of this regulation is to assure that services being provided
		meet professional standards of quality.
		Guidance: "Professional standards of quality" means that care and services are
		provided according to accepted standards of clinical practice.
		• Standards may apply to care provided by a particular clinical discipline or in a specific clinical situation or setting.
		Standards regarding quality care practices may be published by a
		professional organization, licensing board, accreditation body or other
		regulatory agency.
		<ul> <li>Recommended practices to achieve desired resident outcomes may also be</li> </ul>
		found in clinical literature.
		Possible reference sources for standards of practice include:
		Current manuals or textbooks on nursing, social work, physical
		therapy, etc.
		<ul> <li>Standards published by professional organizations such as the</li> </ul>
		American Dietetic Association (now the Academy of Nutrition and
		Dietetics), American Medical Association, American Medical Directors
		Association (now AMDA-The Society for Post-Acute and Long-Term
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	Number	Care Madicinal American Nurses Association National Association of
		Care Medicine), American Nurses Association, National Association of
		Activity Professionals, National Association of Social Work, etc.
		Clinical practice guidelines published by the Agency for Health Care
		Policy and Research.
2/ )		Current professional journal articles
§ 483.25(g)	F692	(Includes naso-gastric and gastrostomy tubes, both percutaneous endoscopic
Assisted	Pages –	gastrostomy and percutaneous endoscopic jejunostomy, and enteral fluids).
nutrition and hydration.	365-367	Based on a resident's comprehensive assessment, the facility must ensure that a resident—
,		(1) Maintains acceptable parameters of nutritional status*, such as usual body
		weight or desirable body weight range and electrolyte balance, unless the
		resident's clinical condition demonstrates that this is not possible or resident preferences indicate otherwise;
		(2) Is offered sufficient fluid intake to maintain proper hydration and health;
		(3) Is offered a therapeutic diet* when there is a nutritional problem and the
		health care provider* orders a therapeutic diet.
		Intent
		The intent of this requirement is that the resident maintains, to the extent
		possible, acceptable parameters of nutritional and hydration status and that
		the facility:
		Provides nutritional and hydration care and services to each resident,
		consistent with the resident's comprehensive assessment;
		Recognizes, evaluates, and addresses the needs of every resident, including
		but not limited to, the resident at risk or already experiencing impaired nutrition and hydration; and
		Provides a therapeutic diet* that takes into account the resident's clinical
		condition, and preferences, when there is a nutritional indication.
		Guidance: Weight loss, poor nutritional status, or dehydration should be
		considered avoidable unless the facility can prove it has assessed/reassessed
		the resident's needs, consistently implemented related care planned
		interventions, monitored for effectiveness, and ensured coordination of care
		among the interdisciplinary team.
§483. <mark>30</mark> (b)	F711	Guidance:
Physician Visits	Pages	Except where the regulation specifies the task must be completed personally
,	450-452	by the physician, the term "attending physician" or "physician" also includes a
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		non-physician practitioner (NPP) involved in the management of the resident's care, to the extent permitted by State law.
		During visits, the physician must also sign and date all orders, with the exception of influenza and pneumococcal vaccinations, which may be administered per physician-approved facility policy after an assessment for contraindications. This includes co-signing orders written by NPPs, qualified dietitian*s, other clinically qualified nutrition professionals and qualified therapists, as required by state law.
§483.30(e) Physician delegation of	F714 Page 460	(1) - Except as specified in paragraph (e)(4) of this section, a physician may delegate tasks to a physician assistant, nurse practitioner, or clinical nurse specialist who—
tasks in SNFs		<ul> <li>(i) Meets the applicable definition in §491.2 of this chapter or, in the case of a clinical nurse specialist, is licensed as such by the State;</li> <li>(ii) Is acting within the scope of practice as defined by State law; and</li> <li>(iii) Is under the supervision of the physician.</li> </ul>
§483.30(f)	F714	At the option of State, any required physician task in a NF (including tasks
Performance of	Page 460	which the regulations specify must be performed personally by the physician)
physician tasks in		may also be satisfied when performed by a nurse practitioner, clinical nurse
NFs		specialist, or physician assistant who is not an employee of the facility but who is working in collaboration with a physician.
§483. <mark>30</mark> (e)	F715	(2) - A resident's attending physician may delegate the task of writing dietary
Physician	Pages	orders, consistent with §483.60, to a qualified dietitian* or other clinically
delegation of	463	qualified nutrition professional who—
tasks in SNFs.		(i) Is acting within the scope of practice as defined by State law; and
		(ii) Is under the supervision of the physician.
		(3) - A resident's attending physician may delegate the task of writing therapy
		orders, consistent with §483.65, to a qualified therapist who—
		(i) Is acting within the scope of practice as defined by State law; and
		(ii) Is under the supervision of the physician
		(4, pg 460) - A physician may not delegate a task when the regulations specify
		that the physician must perform it personally, or when the delegation is
		prohibited under State law or by the facility's own policies.
		(pg 464) Guidance (483.30 (e)(2)-(3):
		Physicians and NNP may delegate the task of writing orders to qualified
		dietitians* or clinically qualified nutrition professionals and qualified therapists





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	Number	if the State practice act allows the delegation of the task, and the State practice act for the qualified individual being delegated the task of writing orders permits such performance Dietary orders written by a qualified dietitian*/clinically qualified nutrition professional, or therapy orders written by therapists, do not require co-signature, except as required by State law.
§483.60 Food and nutrition services.	F800 Page 615	The facility must provide each resident with a nourishing, palatable, well-balanced diet that meets his or her daily nutritional and special dietary needs, taking into consideration the preferences of each resident.
§483.60(a) Staffing	F801 Pages 616-617	The facility must employ sufficient staff with the appropriate competencies and skills sets to carry out the functions of the food and nutrition service, taking into consideration resident assessments, individual plans of care and the number, acuity and diagnoses of the facility's resident population in accordance with the facility assessment required at §483.70(e)  Section updated with 09-30-22 Revision – wording changed in 2017 revision remains in red type along with new sub-section E (no longer in red in the 10-21-22 revision).  §483.60 (a)(2) If a qualified dietitian or other clinically qualified nutrition professional is not employed full-time, the facility must designate a person to serve as the director of food and nutrition services.  • (i) The director of food and nutrition services must at minimum meet one of the following qualifications  A. A certified dietary manager; or  B. A certified food service manager; or  C. Has similar national certification for food service management and safety from a national certification for food service management or in hospitality, if the course study includes food service or restaurant management, from an accredited institution of higher learning; or  E. Effective October 1, 2022 — Has 2 or more years of experience in the position of director of food and nutrition services in a nursing facility setting and has completed a course of study in food safety and management, by no later than October 1, 2023, that includes topics integral to managing dietary operations including, but not limited to, foodborne illness, sanitation procedures, and food





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		• (ii) In States that have established standards for food service mangers or
		dietary managers, meets State requirements for food service managers or
		dietary managers, and
		(iii) Receives frequently scheduled consultations from a qualified dietitian
		or other clinically qualified nutrition professional.
§483.60(b)	F802	A member of the Food and Nutrition Services staff must participate on the
	Page 619	interdisciplinary team as required in §483.21(b)(2)(ii).
§483.60(c)	F803	Menus must
Menus and	Page 620	(4) Reflect, based on facility's reasonable efforts, the religious, cultural and
nutritional		ethnic needs of the resident population, as well as input received from
adequacy.		residents and resident groups.
		(6) Be reviewed by the facility's dietitian or other clinically qualified nutritional
		professional for nutritional adequacy.
§483. <mark>60</mark> (d) Food	F806	professional for natificial adequacy.
and drink.	Pages –	
and units.	624-625	
\$492 <b>CO</b> (a)		(1) Therepouting diete* must be proceeded by the attending physician
§483.60(e)	F808	(1) The rapeutic diets* must be prescribed by the attending physician.
Therapeutic	Pages	(2) The attending physician may delegate to a registered or licensed dietitian
Diets*	627-628	the task of prescribing a resident's diet, including a therapeutic diet*, to the
		extent allowed by State law.
		Guidance: If the resident's attending physician delegates this task he or she
		must supervise the dietitian and remains responsible for the resident's care
		even if the task is delegated. The physician would be able to modify a diet
		order with a subsequent order, if necessary.
§483. <mark>60</mark> (f)	F809	
Frequency of	Pages –	
Meals	629-630	
§483. <mark>60</mark> (g)	F810	Guidance: The facility must provide appropriate assistive devises to residents
Assistive devices	Page—	who need them to maintain or improve their ability to eat or drink
	630	independently, for example, improving poor grasp by enlarging silverware
		handles with foam padding, aiding residents with impaired coordination or
		tremor by installing plate guards, or specialized cups. The facility must also
		provide the appropriate staff assistance to ensure that these residents can use
		the assistive devices when eating or drinking.
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§483.60(h)	F811	
Paid feeding	Pages	
assistants	631-638	
§483. <mark>60</mark> (i)	F813	
Food safety	Pages –	
requirements	668-669	
§483.75(a)	F865	Each LTC facility, including a facility that is part of a multiunit chain, must
Quality	Pages –	develop, implement, and maintain an effective, comprehensive, data-driven
assurance and	736-740	QAPI program that focuses on indicators of the outcomes of care and quality of
performance		life. The facility must:
improvement		§483.75(a)(2) Present its QAPI plan to the State Survey Agency no later than 1
(QAPI) program.		year after the promulgation of this regulation [§483.75(a)(2) implemented
		November 28, 2017 (Phase 2)]

## **DEFINITIONS**

Select definitions are provided to clarify clinical terms related to nutritional status.

"Acceptable parameters of nutritional status" refers to factors that reflect that an individual's nutritional status is adequate, relative to his/her overall condition and prognosis, such as weight, food/fluid intake, and pertinent laboratory values.

"Artificial nutrition and hydration" are medical treatments and refer to nutrition that is provided through routes other than the usual oral route, typically by placing a tube directly into the stomach, the intestine, or a vein.

"Clinically significant" refers to effects, results, or consequences that materially affect or are likely to affect an individual's physical, mental, or psychosocial well-being either positively by preventing, stabilizing, or improving a condition or reducing a risk, or negatively by exacerbating, causing, or contributing to a symptom, illness, or decline in status.

"Dietary supplements" refers to herbal and alternative products that are not regulated by the Food and Drug Administration and their composition is not standardized. Dietary supplements must be labeled as such and must not be represented for use as a conventional food or as the sole item of a meal or the diet.

"Health Care Provider" includes a physician, physician assistant, nurse practitioner, or clinical nurse specialist, or a qualified dietitian\* or other qualified nutrition professional acting within their state scope of practice and to whom the attending physician has delegated the task. For issues related to delegation to dietitians, refer to §483.60(e)(2), F808.

"Mechanically altered diet" means one in which the texture of a diet is altered. When the texture is modified, the type of texture modification must be specified and part of the physicians' or delegated registered or licensed dietitian order.

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"Non-physician practitioner (NPP)" is a nurse practitioner (NP), clinical nurse specialist (CNS), or physician assistant (PA) as defined above.

"Nourishing snack" means items from the basic food groups, either singly or in combination with each other. "Nutritional status" includes both nutrition and hydration status.

"Nutritional Supplements" refers to products that are used to complement a resident's dietary needs (e.g., calorie or nutrient dense drinks, total parenteral products, enteral products, and meal replacement products). "Qualified dietitian" – is defined in §483.60 as follows: §483.60(a)(1) A qualified dietitian\* or other clinically qualified nutrition professional either full-time, part-time, or on a consultant basis. A qualified dietitian\* or other clinically qualified nutrition professional is one who:

- Holds a bachelor's or higher degree granted by a regionally accredited college or university in the United States (or an equivalent foreign degree) with completion of the academic requirements of a program in nutrition or dietetics accredited by an appropriate national accreditation organization recognized for this purpose.
- (ii) Has completed at least 900 hours of supervised dietetics practice under the supervision of a registered dietitian or nutrition professional.
- (iii) Is licensed or certified as a dietitian or nutrition professional by the State in which the services are performed. In a State that does not provide for licensure or certification, the individual will be deemed to have met this requirement if he or she is recognized as a "registered dietitian" by the Commission on Dietetic Registration or its successor organization, or meets the requirements of paragraphs (a)(1)(i) and (ii) of this section.
- (iv) For dietitians hired or contracted with prior to November 28, 2016, meets these requirements no later than 5 years after November 28, 2016 or as required by state law.

"Suitable and nourishing alternative meals and snacks" means that when an alternate meal or snack is provided, it is of similar nutritive value as the meal or snack offered at the normally scheduled time and consistent with the resident plan of care.

"Therapeutic diet" refers to a diet ordered by a physician or other delegated provider that is part of the treatment for a disease or clinical condition, to eliminate, decrease, or increase certain substances in the diet (e.g., sodium or potassium), or to provide mechanically altered food when indicated.

"Tube feeding" refers to the delivery of nutrients through a feeding tube directly into the stomach, duodenum, or jejunum. It is also referred to as an enteral feeding.

In this Practice Tips, the Academy/CDR has chosen to use the term RDN to refer to both registered dietitians (RD) and registered dietitian nutritionists (RDN) and to use the term NDTR to refer to both dietetic technician, registered (DTR) and nutrition and dietetics technician, registered (NDTR).